

General Disclosure Obligations at Asset Manager Level

Articles 3-5 SFDR

DIANA CAPITAL, S.G.E.I.C., S.A

Date of last review: January 2023.

DIANA CAPITAL, S.G.E.I.C., S.A., (hereinafter "Diana Capital") is subject to Regulation (EU) 2019/2088 on sustainability disclosures in the financial services sector, (hereinafter the "Regulation").

a) Article 3

Diana Capital integrates sustainability risks into its investment decisions and in compliance with its transparency obligations towards its investors and other stakeholders. The details on the policy of integration of these risks required by article 3 of the Regulation are described in its Responsible Investment Policy.

b) Article 4

Diana Capital considers the principal material or potentially material adverse impacts of the Fund's investments on sustainability factors. During the Due Diligence phase, the principal negative externalities generated by the investment will be qualitatively assessed. Once in the portfolio, they will be monitored through the annual calculation of the 16 indicators set out in the RTS of the Disclosure Regulation. Periodic monitoring should be followed by corrective actions to minimise them as far as possible. Information on the principal adverse impacts on sustainability factors will be integrated in the periodic information to be received by investors and on the institution's website in the terms described in the SFDR and the RTS.

c) Article 5

Diana Capital's Remuneration Policy includes information on the consistency of the remuneration system with the integration of sustainability risks, as established in article 5 of the Regulation.